



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David M. Sabatini
Serial No.: 09/817,003
Filing Date: March 22, 2001
Title: ARRAYED TRANSFECTION METHOD AND USES RELATED THERETO

Art Unit: Unknown
Examiner: Unknown

Commissioner for Patents
Box Missing Parts
Washington, DC 20231

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In Response to Notice to File Missing Parts of Application, dated May 3, 2001, Applicant submits herewith:

A Declaration executed by the inventor;

Verified Statement (Declaration) Claiming Small Entity Status;

Part 2 of Notice To File Missing Parts; and

authorization is hereby given to charge Deposit Account No. 18-1945 for the total amount of \$893.00 to cover the cost of the late filing surcharge (\$65), the basic filing fee (\$355), and additional fees for 8 independent claims in excess of 3 (\$320) and for 17 dependent claims in excess of 20 (\$153) for the above-identified patent application.

The Commissioner is hereby authorized to charge payment of any other fees or credit any overpayment to Deposit Account No. 18-1945.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Attention Box Missing Parts, Washington, DC 20231 on:

July 2, 2001
Date
Denise M. Bronsdon
Denise M. Bronsdon

Respectfully submitted,

ROPES & GRAY LLP

Matthew P. Vincent, Reg. 36,709
One International Place
Boston, MA 02110
Tel. (617) 951-7000
Fax (617) 951-7050



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Serial No.: 09/817,003
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Title: ARRAYED TRANSFECTION METHOD AND USES RELATED THERETO

Art Unit: Unknown
Examiner: Unknown

Commissioner for Patents
Box Missing Parts
Washington, DC 20231

Dear Sir:

TRANSMITTAL LETTER

Responsive to the Notice to File Missing Parts of Nonprovisional Application mailed May 3, 2001, the following is enclosed:

1. Part 2 - Copy of Notice to File Missing Parts;
2. Response to the Notice to File Missing Parts;
3. Verified Statement (Declaration) Claiming Small Entity Status;
4. Executed Declaration; and
5. Return Postcard.

Authorization is hereby given to charge Deposit Account Number 18-1945 in the amount of \$893.00; and

The undersigned also authorizes the charging of any other fees and credits to our Deposit Account No. 18-1945.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Attention Box Missing Parts, Washington, DC 20231 on:

July 2, 2001
Date
Denise M. Bronsdon
Denise M. Bronsdon

Respectfully submitted,

ROPES & GRAY LLP

Matthew P. Vincent
Matthew P. Vincent, Reg. No. 36,709
One International Place
Boston, MA 02109
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,003	03/23/2001	David M. Sabatini	WIBL-P02-001

CONFIRMATION NO. 5682

28120
ROPES & GRAY
ONE INTERNATIONAL PLACE
BOSTON, MA 02110-2624

FORMALITIES LETTER



OC000000006038518

Date Mailed: 05/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/09/2001 SDIRETA1 00000104 181945 09817003

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 CH
02 FC:203	65.00 CH
03 FC:202	320.00 CH
04 FC:203	153.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$946.
 - \$306 for 17 total claims over 20.
 - \$640 for 8 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1786.

*A copy of this notice **MUST** be returned with the reply.*

NK

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



RECEIPT

ATTORNEY DOCKET NO.: WBI-P02-001

RECEIVED

AUG 17 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David M. Sabatini
Serial No.: 09/817,003
Filing Date: March 22, 2001
Title: ARRAYED TRANSFECTION METHOD AND USES RELATED THERETO

Art Group: Unknown
Examiner: Unknown

TECH CENTER 1600/2000

Commissioner for Patents
Washington, DC 20231

Dear Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

The Applicant respectfully requests correction of the Filing Receipt to correct the date of filing to read: --March 22, 2001--.

Enclosed is a copy of the transmittal letter, the Certificate of Express Mailing, a copy of the postcard and a copy of the Express Mail label all noting March 22, 2001 as the correct date of filing. Also enclosed is a copy of the official Filing Receipt for your reference.

Since the Applicant and his agent did not make the error, no fee is due. Please apply any other required fees or any credits to deposit account 18-1945, referencing the attorney docket number shown above.

Respectfully submitted,

Dated: 7/2/01

Matthew W. Vincent
Reg. No. 36,709

Ropes & Gray
One International Place
Boston, MA 02110-2624
Tel.: (617) 951-7000
Fax: (617) 951-7050
Customer Number: 28120
8563908.1

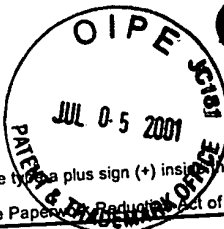
CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as **First Class Mail** with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents Washington, D.C. 20231

July 2, 2001
Date of Deposit

Denise M. Bronsdon
Signature

Denise M. Bronsdon
Typed or Printed Name of Person Signing Certificate



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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **WIBL-P02-001**
First Inventor **David M. Sabatini**
Title **Arrayed Transfection Methods and Uses Related Thereto**
Express Mail Label No. **EL669 660 675US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **63**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **7**]
[Total Pages **7**]
5. Oath or Declaration
 - a. ☒ **Unsigned**
Newly Executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - b. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)
of prior application No.: **not available**
Group Art Unit: _____

Prior application information: Examiner _____
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **28120** or ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name **Matthew P. Vincent**
Ropes & Gray
Address **One International Place**
City **Boston** State **MA** Zip Code **02110**
Country **US** Telephone **617 951-7000** Fax **617 951-7050**

Name (Print/Type) **Matthew P. Vincent** Registration No. (Attorney/Agent) **36,709**
Signature *[Signature]* Date **3/22/2001**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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AUG 17 2001

TECH CENTER 1600/2900



Attorney Docket No. WIBL-P02-001
New Patent Application entitled: Arrayed Transfection Methods and Uses
Related Thereto"
Filed herewith
Inventor: David M. Sabatini

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AUG 17 2001

TECH CENTER 1600/2000

Certificate of Express Mailing (37 CFR 1.10)

Label No. EL669 660 675US

I hereby certify that this correspondence is being deposited
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Office to Addressee" service under 37 CFR 1.10 and is
addressed to the Commissioner of Patents; BOX PATENT
APPLICATION, Washington, D.C. 20231, on the date set
forth below.

March 22 2001
Date of Signature and of Mail Deposit

Matthew P. Vincent, Reg. 36,709



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March 22, 2001

Express Mail No. EL669 660 675US

Atty Docket: WIBL-P02-001

Title: Arrayed Transfection Methods and Uses Related Thereto

Inventors: David M. Sabatini

Customer No. 28120

Matthew P. Vincent, Reg. 36,709

The Patent Office hereby acknowledges receipt of the following documents: New Continuation-in-part Patent Application consisting of: Transmittal letter (1 p.); 51 pages of specification, 5 pages claims; one page of abstract; 7 sheets of drawings; unsigned Declaration for Utility Patent Application (1 p.); Express Mail Declaration (1 p.) this postpaid return postage paid.

Ropes & Gray
Intellectual Property Dept.

APR 18 2001

U.S. PTO
09/817003
03/23/01



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AUG 17 2001
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**POST OFFICE
TO ADDRESSEE**



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ORIGIN (POSTAL USE ONLY)

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Date in Month: 3	Time in Day: <input checked="" type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 1 PM	Postage: \$ 16.00
Time in AM: <input checked="" type="checkbox"/> PM: <input type="checkbox"/>	Return Receipt Fee: <input type="checkbox"/>	Insurance Fee: <input type="checkbox"/>
Weight: 13 ozs.	Int'l Alpha Country Code: <input type="checkbox"/>	COD Fee: <input type="checkbox"/>
No Delivery: <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials: J.D.	Total Postage & Fees: \$ 16.00

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Label 11-F July 1997

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☒ NO DELIVERY: ☐ Weekend ☐ Holiday

FROM: (PLEASE PRINT) PHONE: 617-338-0080
Matthew P. Vincnet, Reg. 36,709
WIBL-P02-001
RUPES & GRAY
100 OLIVER ST.
BOSTON, MA 02110-2624

TO: (PLEASE PRINT) PHONE: 202-293-2231
BOX-PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/817,003	03/23/2001	1645	0.00	WIBL-P02-001	7	37	11

CONFIRMATION NO. 5682

FILING RECEIPT



OC000000006038517

28120
ROPES & GRAY
ONE INTERNATIONAL PLACE
BOSTON, MA 02110-2624

Date Mailed: 05/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David M. Sabatini, Cambridge, MA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/193,580 03/30/2000
AND CLAIMS BENEFIT OF 60/154,737 09/17/1999

Foreign Applications

If Required, Foreign Filing License Granted 05/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Arrayed transfection method and uses related thereto

Ropes & Gray
Intellectual Property Dept.

MAY 10 2001

Preliminary Class

REVIEWED BY DOCKETING



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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Bib Data Sheet

FILE COPY

CONFIRMATION NO. 5682

SERIAL NUMBER 09/817,003	FILING DATE 03/22/2001 RULE	CLASS 435	GROUP ART UNIT 1645	ATTORNEY DOCKET NO. WIBL-P02-001
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APPLICANTS

David M. Sabatini, Cambridge, MA;

**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/193,580 03/30/2000
AND CLAIMS BENEFIT OF 60/154,737 09/17/1999
- CIP of 09/884 297, 09/18/2000

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
** 05/03/2001

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY MA	SHEETS DRAWING 7	TOTAL CLAIMS 37	INDEPENDENT CLAIMS 11
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

28120

TITLE

Arrayed transfection method and uses related thereto

FILING FEE RECEIVED 893	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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